

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket  
No.

P02,0056

First Named Inventor or Application Identifier

Burghard Stöver et al,

Express Mail Label No: # EL843745595US

11002 U.S. PRO  
10/07/92

02/12/02

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

\* See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 46 ]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 4 ]  
3. ☒ Declaration and Power of Attorney [Total Pages 2 ]

- a. ☐ Newly executed (Original copy).  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

- i. ☐ [Note Box 4 Below]  
**DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☒ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
9. ☐ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity Status (37 CFR 1.27)  
12. ☒ Certified Copy of a Priority Document(s) European  
Application No. 01103549.0 filed February 19, 2001  
13. ☐ Other: \_\_\_\_\_

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: \_\_\_\_\_/\_\_\_\_\_

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
TOTAL CLAIMS	20	27	7	\$18.00	\$210.00
INDEPENDENT CLAIMS	3	4	1	\$84.00	\$84.00
	ANY MULTIPLE DEPENDENT CLAIMS? (YES (X) NO				
				TOTAL FILING FEE ->	\$950.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 950.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

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DATE: February 12, 2002

U-11

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Chicago  
Washington  
New York  
Merrillville  
Dublin

February 12, 2002

Hon. Assistant Commissioner for Patents,  
Washington, D.C. 20231

RE: Proposed Patent Application for Burghard STÖVER, et al.; entitled:  
"COMPUTER SYSTEM AND METHOD FOR SECURING MARKET  
PROFITS OF FINANCIAL INSTRUMENT INVESTMENTS"  
Attorney Docket No. P02,0056


Sir:

Under the provisions of 37 CFR §1.41 (c), I am filing the attached application with **27 claims, 4 sheets of drawings** and **filing fee** on behalf of **Burghard STÖVER, et al.** and request that the application papers be assigned a serial number and filing date.

On behalf of the Inventor(s) I hereby claim priority from German application No. 01103549.0, Filed on 19 February 2001.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 CFR § 1.53(b) and 37 CFR § 1.53(f).

Respectfully submitted,

  
Mark Bergner  
Attorney for Applicant

(Reg. No. 45,877)

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Express Mail Mailing Label Number** EL 843745595 US

**Date of Deposit:** February 12, 2002

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:


**Box Patent Application  
Assistant Commissioner for Patents  
Washington DC 20231**

**Case Number:** P02,0056

**Applicant(s):** Burghard STÖVER, et al.

**Title:** COMPUTER SYSTEM AND METHOD FOR SECURING MARKET PROFITS OF  
FINANCIAL INSTRUMENT INVENTMENTS

**Filing Fee**

  
\_\_\_\_\_  
Signature of person mailing documents and fees